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Date: April 23, 2004

Re: Serial No. 09/991,371

☒ Urgent ☐ Please review ☐ Please Comment ☐ Please Reply ☐ For your information

Case Name: Deliwala 5003-02

Serial Number: 09/991,371

Filing Date: November 10, 2001

Group Art Unit: 2874

Examiner: Jennifer Doan

Title: Anisotropic Etching of Optical Components

Attached please find the following documents:

1. Transmittal Form (1 page)
2. Certificate of Transmission under 37 CFR 1.8 (1 page)
3. Species Election (2 pages)
4. Change of Correspondence Address (1 page)

Respectfully submitted,

*Wendy W. Koba*  
Wendy W. Koba, Esq.

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/991,371
	Filing Date	November 10, 2001
	First Named Inventor	S. Deliwala
	Art Unit	2874
	Examiner Name	J. Dean
Total Number of Pages in This Submission	Attorney Docket Number	5003-02

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Transmission Under 37 CFR 1.8
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Wendy W. Koba
Signature	Wendy W. Koba
Date	4/23/04

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Wendy W. Koba	
Signature	Wendy W. Koba	Date 4/23/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Deliwala 5003-02

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**Patent Application****Inventor(s)** Shrenik Deliwala**Case** 53168-5003-02**Conf. No.** 4467**Serial No.** 09/991,371**Group Art Unit** 2874**Filing Date** November 10, 2001**Examiner** Jennifer Doan**Title** Anisotropic Etching of Optical Components**OFFICIAL****RECEIVED  
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**COMMISSIONER OF PATENTS  
ALEXANDRIA, VA 22313**

**SIR:**

**SPECIES ELECTION UNDER 35 USC § 121**

In response to the Office action dated March 25, 2004 (application Paper No. 03222004), applicant elects species (I), directed to the use of an evanescent coupling region having a tapered gap portion, for prosecution in this application. This species, as noted by the Examiner, is readable on claims 6-7, 21-26, 28, 33 and 51.

Applicant affirms the Examiner's notation that claims 1, 4 and 5 are considered as "generic", and will be examined with the elected species. As mentioned by the Examiner, upon the allowance of the generic claims, applicant is entitled to request consideration of the additional species (written in dependent form) to also be allowable in this application. Lastly, applicant notes that claims 2-3, 9-20, 27, 32, 27-50 and 52-56 "are not grouped into either one of the mentioned species, and thus these claims will be

Deliwala 5003-02

examined with the generic claims and the claims of the elected species", as stated by the Examiner.

Should there remain any questions regarding this application, the Examiner is invited to contact applicant's attorney at the telephone number listed below.

Respectfully submitted,

Shrenik Deliwala

By: Wendy W. Koba  
Wendy W. Koba  
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Attorney for applicant  
610-346-7112

Date: 4/23/04